

What to expect when you work with a CFA[®] charterholder.

From CFA Institute's Statement of Investor Rights

- 1 Honest, competent, and ethical conduct.
- 2 Objective advice and assistance.
- 3 Your financial interests over those of the professional and the organization.
- 4 Fair treatment with respect to other clients.
- 5 Disclosure of any existing or potential conflicts of interest.
- 6 All advice provided is based on your financial objectives and constraints.
- 7 Clear, accurate, complete and timely communications that use plain language.
- 8 An explanation of all fees and costs charged to you.
- 9 Confidentiality of your information.
- 10 Appropriate and complete records.

Putting Investors First:
A DIFFERENCE THAT MATTERS.

B S A S

THE BOSTON SECURITY ANALYSTS SOCIETY, INC

